

Candidate Report

At a glance

Candidate details

Candidate full name:	Mr Joe Bloggs
Date of birth:	18/06/1975
Address:	24 Dartmouth Way, Manchester, M39 2WE

Report details

Report on behalf of:	Sample Customer Limited	Total Pages
KYC reference number:	12345678	3
Date check request submitted:	1 st September 2011	
Date report completed:	1 st September 2011	

Check outcome

Check	Status
Global Sanctions Check	

Important – please read

The information contained within this report is intended for use by the named client as part of a wider candidate assessment during the recruitment process. Recruitment decisions should be based on all the information gleaned from the selection process and not just that included within this report.

Know Your Candidate Ltd collects the data to build candidate reports from a variety of sources that may in turn collect their data from numerous sources. As such it is impractical to verify or warrant the accuracy of the data within this report. By using the Know Your Candidate service you acknowledge your acceptance of these risks.

In detail

Global Sanctions Check

Matches found

Total matches found	1
Direct matches Match made on name with exact same spelling	1
Indirect matches Match made on name with different spelling or order of names or the exact same name but a date of birth is returned which is different from that supplied by you.	0

Settings used

Search term entered	Joe Bloggs
Date of search	1 st September 2011
Allow spelling variations e.g. Green or Greene	Yes
Allow synonyms e.g. Bill / William	Yes
Match words	Match all words in search term
Ignore initials	No
Number of sanctions bodies searched	158

Details of match found

Details matched on	Joe Bloggs
Aliases	Harbour Bridge Investment Advisors
Issuing body	Financial Services Authority
Title	Former Investment Advisor gives forceable undertaking 28 th June 2002
Publication date	28/06/2002
Description	The FSA has accepted an enforceable undertaking from Mr Wilson Stephen Fisk of Manchester, which states he will not act as a financial advisor or investment advisor for 3 years.

Global Sanctions Check

Sanctions (20 of 20 available)

Commission de Surveillance du Secteur Financier, Luxembourg; Commonwealth of Australia Law; De Nederlandsche Bank, Netherlands; Department of Foreign Affairs and Trade, Australia; European Union; Financial Services Agency, Japan; Guernsey Financial Services Commission; HM Treasury (formerly Bank of England); Home Office, UK; Hong Kong Monetary Authority; Isle of Man Financial Supervision Commission; Jersey Financial Services Commission; Ministry of Finance, Japan; Monetary Authority of Singapore; Office of Foreign Assets Control (OFAC), United States; Office of the Superintendent of Financial Institutions, Canada; Reserve Bank of Australia; State Secretariat for Economic Affairs United Nations Security Council Committee; US Department of State

Regulatory Enforcement (97 of 97 available)

Alberta Securities Commission, Canada; Autorite des Marches Financiers – Canada; British Columbia Securities Commission, Canada; Commodity Futures Trading Commission (CFTC); Federal Deposit Insurance Corporation, United States; Federal Reserve Board, United States; Federal Trade Commission, United States; Financial Crimes Enforcement Network (FinCEN); FINRA, United States; Investment Dealers Association of Canada; Investment Industry Regulatory Organisation of Canada; Manitoba Securities Commission, Canada; Market Regulation Services Inc., Canada; Mutual Fund Dealers Association of Canada; National Credit Union Administration, United States; National Futures Association, United States; New York Stock Exchange, United States; Nova Scotia Securities Commission; Office of Foreign Assets Control (OFAC), United States; Office of the Comptroller of the Currency, United States; Office of the Superintendent of Financial Institutions, Canada; Office of Thrift Supervision, United States; Ontario Securities Commission, Canada; Saskatchewan Financial Services Commission, Canada; Securities and Exchange Commission (SEC), United States; Securities Commission of Newfoundland and Labrador, Canada; US Court of International Trade; US Department of Justice; Australian Prudential Regulation Authority; Australian Securities and Investments Commission; Bank of Israel – Enforcements; Central Bureau of Investigation, India; China Securities Regulatory Commission; Financial Services Agency, Japan; Financial Services Board, South Africa; Financial Services Commission, Mauritius; Hong Kong Monetary Authority; Hong Kong Securities and Futures Commission; Indonesian Capital Market Executive Agency (Bapepam); InvestED - Hong Kong SFC; Monetary Authority of Macao; Monetary Authority of Singapore; Reserve Bank of India; Securities and Exchange Board of India; Securities and Exchange Commission of Pakistan; Securities and Exchange Commission, Republic of the Philippines; Securities and Exchange Commission, Thailand; Securities and Exchange Surveillance Commission, Japan; Securities Commission of New Zealand; Securities Commission, Malaysia; Assets Recovery Agency, UK; Financial Services Authority (FSA), UK; Gibraltar Financial Services Commission; Guernsey Financial Services Commission; HM Revenue and Customs, UK; Investment Management Regulatory Organisation; Isle of Man Financial Supervision Commission; Jersey Financial Services Commission; Lloyd's Insurance Market, UK; Personal Investment Authority; Securities and Futures Authority; Autorite des Marches Financiers – France; BaFin - Federal Financial Supervisory Authority, Germany; Banking, Finance and Insurance Commission (CBFA), Belgium; Banque de France, CECEI, France; Banque de France, Commission Bancaire, France; Capital Market Commission, Greece; Comision Nacional del Mercado de Valores, Spain; Commission de Surveillance du Secteur Financier, Luxembourg; Commissione Nazionale per le Società e la Borsa, Italy; Cyprus Securities and Exchange Commission; Czech National Bank; Danish Financial Supervisory Authority; Financial and Capital Market Commission, Latvia; Financial Market Authority, Austria; Financial Market Authority, Slovakia; Financial Regulator, Ireland; Financial Supervisory Authority of Norway (Kredittilsynet); Finnish Financial Supervision Authority; Hungarian Financial Supervisory Authority; Insurance Supervisory Commission of the Republic of Lithuania; Malta Financial Services Authority; Netherlands Authority for the Financial Markets; Polish Securities and Exchange Commission; Portuguese Securities Market Commission (CMVM); Securities Commission of the Republic of Lithuania; Securities Market Agency, Slovenia; Swedish Financial Supervisory Authority (Finansinspektionen); Swiss Federal Banking Commission; British Virgin Islands Financial Services Commission; Cayman Islands Monetary Authority; Central Bank of Belize; Central Bank of The Bahamas; Chilean Securities and Insurance Supervisor; Comision Nacional de Valores – Argentina; Comision Nacional Supervisora de Empresas Y Valores (CONASEV), Peru

Law Enforcement (34 of 34 available)

Central Bureau of Investigation, India; Central Narcotics Bureau, Singapore; Cheshire Constabulary, UK; City of London Police, UK; Devon & Cornwall Constabulary, UK; Federal Bureau of Investigation (FBI), United States; Federal Investigation Agency General Police Directorate, Slovenia; Hampshire Police, UK; Hong Kong Police Force; Interpol; Lancashire Constabulary, UK; Metropolitan Police Service, UK; Ministry of Home Affairs - Government of India; National Crime Squad, UK; North Yorkshire Police, UK; Nottinghamshire Police, UK; Philippines National Police; Royal Embassy of Saudi Arabia; Royal Malaysian Police; Serious Organised Crime Agency, UK; South African Police Service; Surrey Police, UK; Thames Valley Police, UK; US Air Force Office of Special Investigations; US Bureau of Alcohol, Tobacco, Firearms and Explosives; US Drug Enforcement Administration; US Immigration and Customs Enforcement; US Marshals Service; US Naval Criminal Investigative Service; US Postal Inspection Service US Rewards for Justice; US Secret Service; Warwickshire Police, UK

Other (7 of 7 available)

Central Intelligence Agency (CIA) - Heads of State/Government; Bureau of Industry and Security; Centro Mexicano Para La Filantropia (CEMEFI); Companies House, UK; Financial Action Task Force; Ministry of Economy, Trade and Industry, Japan; World Bank